PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION **TRANSMITTAL**

LUC-296/Foss 1-8-25-5 Attorney Docket No. First Inventor or Application Identifier Foss DIRECT ELECTRICAL CONNECTABILITY OF PORT OF SPLITTER UNIT TO CONNECTOR OF INTERFACE CIRCUIT OF SWITCH Title

Conty for ne	ew nonprovisional	applications under 37	C.F.R. § 1.53(D))	Express inali	Label No.	EL041336333C	15		
APPLICATION ELEMENTS			14000	TCC TC	Assistant	Commissioner for Patents	S.		
See MPEP chapter 600 concerning design patent application contents.				ADDA	ADDRESS TO Box Patent Application Washington, DC 20231				
1. Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) 2. Applicant claims small entity status. See 37 CFR 1.27. 3. Specification [Total Pages 21] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Background of the Invention - Brief Summary of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description				8. Nucl (if ap a.	7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Form (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. paper c. Statements verifying identity of above copies ACCOMPANYING APPLICATIONS PARTS				
	- Claim(s) - Abstract of the Disclosure			10.	☐ 37 C.F.R.§3.73(b)Statement ☐ Power of (when there is an assignee) Attorney				
4. Drawing(s) (35 U.S.C.113) [Total Sheets 6]				11. 🗆					
	Declaration	-	l Pages 7]	12. 🛚					
a. ⊠ b. □	•	ed (original or copy) rior application (37 (13.		it (IDS)/PTO-1			
о. <u> </u>		ation/divisional with i		14.					
i. [DELETION	OF INVENTOR(S	3)		(Should be specifically itemized)				
		ent attached deleting in rior application, see 37		15. 📙	15. Certified Copy of Priority Document(s) (if foreign priority is claimed)				
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).			16. 🛛						
6. [Ap	plication Data S	sheet. See 37 CFR	1.76						
17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) Of prior application No: Group / Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied									
under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.									
17. CORRESPONDENCE ADDRESS									
☐ Customer Number or Bar Code Label Or ☑ Correspondence address below (Insert Customer No. or Attach bar code label here)									
Name	Carmen B. Patti/Robert J. Brill								
	Wildman, Harrold, Allen & Dixon								
Addross	225 West Wacker Drive								
Address									
City	Chicago State		IL	IL Zip Code		60606			
Country	USA		Telephone	312/201.2	2000	Fax	312/201.2555		
Name (Print/Type) Robert J. Brill		Registratio	Registration No. (Attorney/Agent) 36,760						
Signature Rola 7		1 33			Date	February 2, 2001			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



-
4
Paris Services
13.6
<u>a</u> j
Ü
Œ
TŲ.
Ŋ
ļ.b

FFF TO A NOBALTEAL	Complete if Known				
FEE TRANSMITTAL	Application Number	N/A			
for FY 2001	Filing Date	February 2, 2001			
	First Named Inventor	Foss *			
Patent fees are subject to annual revision.	Examiner Name	N/A			
	Group / Art Unit	N/A			

TOTAL AMOUNT OF PATMENT (\$) 782		Attorney Docket No. Loc-290/1055 1-0-25-5					
METHOD OF PAYMENT (check one)	\Box	FEE CALCULATION (continued)				ALCULATION (continued)	
The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:			3. ADDITIONAL FEES Large Entity		Small Entity		
Deposit 13 2025		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account 12-2325 Number		105	130	205	65	Surcharge - late filing fee or oath	
	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
Deposit Account Lucent Technologies, Inc.			130	139	130	Non-English specification	
Name	147	2,520	147	2,520	For filing a request for reexamination		
☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	-	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
Applicant claims small entity status. See 37 CFR 1.27	_	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
2. Payment Enclosed:	- 1	115	110	215	55	Extension for reply within first month	
☐ Check ☐ Credit card ☐ Money ☐ Other Order		116	390	216	195	Extension for reply within second month	
FEE CALCULATION		117	890	217	445	Extension for reply within third month	
1. BASIC FILING FEE	\dashv	118	1,390	218	695	Extension for reply within fourth month	
Large Entity Small Entity	- 1	128	1,890	228	945	Extension for reply within fifth month	
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid		119	310	219	155	Notice of Appeal	
101 710 201 355 Utility filing fee 710.00		120	310	220	155	Filing a brief in support of an appeal	
106 320 206 160 Design filing fee		121	270	221	135	Request for oral hearing	
107 490 207 245 Plant filing fee		138	1,510	138	1,510	Petition to institute a public use proceeding	
108 710 208 355 Reissue filing fee	- 1	140	110	240	55	Petition to revive – unavoidable	
114 150 214 75 Provisional filling fee		141	1,240	241	620	Petition to revive – unintentional	
SUBTOTAL (1) (\$) 710		142	1,240	242	620	Utility issue fee (or reissue)	
		143	440	243	220	Design issue fee	
2. EXTRA CLAIM FEES		144	600	244	300	Plant issue fee	
Extra Fee from Fee		122	130	122	130	Petitions to the Commissioner	
Total Claims		123	130	123	130	Petitions related to provisional applications	
Independent Claims 2 -3** = 0 X 0 = 0	ı	126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent X = 0		581	40	581	40	Recording each patent assignment per property (times number of properties)	
Large Entity Small Entity Fee Fee Fee Fee Fee Description			710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code (\$) Code (\$) Fee Description	Í	149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
102 80 202 40 Independent claims in excess of 3	- 1	179	710	279	355	Request for Continued Examination (RCE)	
104 270 204 135 Multiple dependent claim, if not paid	- }						
109 80 209 40 ** Reissue independent claims over original patent	ı	169	900	169	900	Request for expedited examination of a design application	
110 18 210 9 ** Reissue daims in excess of 20 and over original patent	ļ						
SUBTOTAL (2) (\$) 72		Other fee (specify)					
		*Reduc	ed by Ba	sic Filin	g Fee P	aid SUBTOTAL (3) (\$) 0	
**or number previously paid, if greater; For Reissues, see above							

SUBMITTED BY Complete (if applicable)								
Name (Print/Type)	Robert J. Brill	Registration No. Attorney/Agent)	36,760	Telephone	312/201.2000			
Signature	Robert 1	Pail		Date	February 2, 2001			

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Foss et al.

EXAMINER: N/A

SERIAL NO.:

N/A

GROUP:

N/A

FILED:

2/2/2001

CASE NO.:

LUC-296/Foss 1-8-25-5

ENTITLED:

DIRECT ELECTRICAL CONNECTABILITY OF PORT OF SPLITTER

UNIT TO CONNECTOR OF INTERFACE CIRCUIT OF SWITCH

CERTIFICATE OF MAILING

Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231

Dear Sirs:

I hereby certify that the following documents pertaining to the above referenced application have been deposited with the United States Postal Service as Express Mail No. EL041358353US addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231 on February 2, 2001.

New Application Transmittal

Fee Transmittal Sheet

21-page Specification, Claims, Abstract

6-sheets Informal Drawings

7-page Executed Declaration and Power of Attorney

Recordation Form Cover Sheet w/copy for fees

5-page Executed Assignment

3-page IDS

1-copy of each of 18 cited references

Return Receipt Postcard

Deposited by: Leanora Petrowski

Signature: